



REQUEST for CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/916,555
Filing Date	July 26, 2001
First Named Inventor	Chih Hsin Wang et al.
Group Art Unit	2814
Examiner Name	M. D. Pizarro-Crespo
Attorney Docket Number	2102397-911400

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000); 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply (Preliminary Amendment)
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Commissioner is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of enclosed \$ 750.00 is enclosed.

Dated: February 13, 2003

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

By: Alan A. Limbach

Alan A. Limbach

Reg. No. 39,749

Attorneys for Applicant(s)

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CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: February 13, 2003

Name (Print/Type)	Kathleen LaBrie	Date	February 13, 2003
Signature	<u>Kathleen LaBrie</u>		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Chih Hsin Wang et al.

Application No. 09/916,555

Filed: July 26, 2001

For: A SEMICONDUCTOR MEMORY
ARRAY OF FLOATING GATE
MEMORY CELLS WITH LOW
RESISTANCE SOURCE REGIONS AND
HIGH SOURCE COUPLING
(as amended)

Group Art Unit: 2814

Examiner: M. D. Pizarro-Crespo

**PRELIMINARY AMENDMENT
SUBMITTED WITH REQUEST
FOR CONTINUED
PROSECUTION**

1755 Embarcadero Road
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box, Assistant Commissioner for Patents, Washington, DC 20231, on February 13, 2003.

GRAY CARY WARE & FREIDENRICH Date: 2/13/03

By: Kathleen LaBrie

Kathleen LaBrie

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please preliminarily amend the above identified application as follows:

#12C Addt
N. Pizarro
3/6/03

RECEIVED
FEB 13 2003
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